

CLERKS REPORT

Docket No. & Name: DE 20-124 Vanguard Group, Inc.
Request For a Limited Exemption from Approval Requirements of Section
374.33

Date: 04/14/21 Opened: 1:37 Closed: 1:53 PHC: _____ Hearing: Yes

Presiding Officer: Chairwoman Dianne Martin and Commissioner Kathryn M. Bailey Hearing Examiner: _____

Court Reporter: Steven Patnaude Clerk: Jody Carmody

Briefs Due: _____

Transcript Due Date: _____ Hearings Continued: _____

Hearing Examiner Report Due: _____ Under Advisement:

Affidavit Filed: Yes

Appearances:

Vanguard Group, Inc. - William Harwood, Esq., and Katherine McDonough, Esq.
Staff – Brian D. Buckley, Esq.

Intervention Granted:

Other Rulings:

Motion for Confidential Treatment will be addressed in the Order

Exhibit 1-3 entered into evidence and are listed below:

Exhibit 1 – Vanguard Group, Inc. request for Limited Exemption from Approval Requirements of RSA 374:33

Exhibit 2 – Vanguard Group, Inc. Amended Petition

Exhibit 3 – Staff Recommendation

WITNESSES:

Panel 1	Judy Gaines and Janine Korpics
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