

**DW 23-020**  
**HAMPSTEAD AREA WATER COMPANY, INC.**  
**REQUEST FOR STEP II ADJUSTMENT**

**DEPARTMENT OF ENERGY DATA REQUESTS SET 4 (Step I and II Expenses)**

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These data requests shall reference the Hampstead Area Water Company, Inc as “HAWC” or “the Company.”

Date Request Received: 1-2-2024  
Request No. DOE 4-1

Date of Response: 1-12-2024  
Witness: Stephen P. St. Cyr

**Reference: HAWC’s Step I (DW 20-117) & Step II (DW 23-020) Step Expenses Filing (Dec. 7, 2023)**

The Company proposes to recover \$65,987.79 of rate case expenses over 4 months via a flat fee of \$3.82 per customer, per month. Please indicate:

- a) The number of customers the Company is using in their calculation, as well as the date of that customer count;
- b) In which 4 months the Company proposes to bill the flat fee;
- c) How the Company determined the time frame of 4 months was the most appropriate; and
- d) Any other time frames the Company considered.

**RESPONSE DOE 4-1**

- a) As of 12/31/23, the Company had 4,146 customers. There was a calculation error in the original spreadsheet. The total proposed expenses should have been \$69,425.64 instead of \$65,987.79. After using the December 2023 actual expenses, the revised total estimate is \$69,452.66. The proposed monthly fee using the revised total of \$69,452.66 should be \$4.19 (\$69,452.66 divided by 4,146 customers over 4 months).
- b) The Company would bill the next four monthly billings after the effective date of the NHPUC approved fee.
- c) The Company considered recovery over 2 – 6 months. 2 months seemed too short. 6 months seemed too long. As such, it determined that recovery over 4 months was appropriate.
- d) See response to 4-1c.

Date Request Received: 1-2-2024  
Request No. DOE 4-2

Date of Response: 1-12-2024  
Witness: Stephen P. St. Cyr

**Reference: HAWC's Step I (DW 20-117) & Step II (DW 23-020) Step Expenses Filing (Dec. 7, 2023), Referencing Invoice for Raftelis dated 11/14/2023**

The supporting documentation indicated that a company, Raftelis, performed professional services work in the month of October 2023. Please explain further how these charges relate to the Step I and Step II filings, including but not limited to how these charges relate to any work Raftelis performed relative to the Settlement Agreement on Step II, and the updated rates in that Settlement Agreement, filed in September 2023.

**RESPONSE DOE 4-2**

Once the Company and the parties agreed upon the Step 2 revenue requirement, an allocation of the revenue requirement and determination of rates for various customer classes was required. Mr. Fox from Raftelis performed such allocation and determination for the Company and the parties review and approval. Mr. Fox was also in attendance at the Step 2 hearing.

Date Request Received: 1-2-2024  
Request No. DOE 4-3

Date of Response: 1-12-2024  
Witness: John Sullivan

**Reference: HAWC’s Step I (DW 20-117) & Step II (DW 23-020) Step Expenses Filing (Dec. 7, 2023)**

In Docket No. DW 20-117, the Company was authorized to charge new permanent rates as of June 2, 2022.<sup>1</sup> On November 3, 2022, the Company was authorized to begin recovery of certain permanent rate case expenses for DW 20-117.

After review of the redacted Lewis Builders Development, Inc. (LBDI) invoices and supporting timesheets, it appears the following approximately 7.5 hours, on the respective dates, by the respective employees, may relate to permanent rate tariff work, rather than Step I or Step II work. Please explain further why these charges should be allowed to be recovered as part of this Step I and Step II expenses request, or update HAWC’s step expense request to omit them. Please be specific and provide additional documentation if any. In addition, if the work *is* related to Step I or Step II, where attorney time has been spent to correct error (s) for non-compliance or review of PUC tariff rules, please also explain why ratepayers should pay to correct the Company’s initial error(s).

<b>Invoice #</b>	<b>Date</b>	<b>Time</b>	<b>Who</b>	<b>Topic</b>
a) 23603	11/3/2022	.6 hours	AA	Perm Rate Case expenses
b) 23603	11/15/2022	.7 hours	AA	Work on tariff supplement
c) 23603	11/16/2022	.4 hours	AA	Temp to Perm tariff pages
d) 23603	11/17/2022	1.0 hour	AA	Compliance tariffs
e) 23603	11/4/2022	.6 hours	HT	Perm Rate Tariff pages
f) 23603	11/9/2022	.2 hours	HT	Temp to Perm & Fire Protection
g) 23603	11/15/2022	.2 hours	HT	Perm Rate Tariff pages
h) 23603	11/17/2022	.5 hours	HT	Fix tariff pages
i) 23603	11/18/2022	.5 hours	HT	Work w/ PUC Clerk on tariff
j) 23761	12/19/2022	.6 hours	HT	Review Order Re: rejected tariff...
k) 23967	12/28/2022	.3 hours	HT	Tariff work per non-compliance ltr
l) 23967	12/29/2022	.2 hours	HT	Tariff work per non-compliance ltr
m) 23967	12/30/2022	.5 hours	HT	Circulate tariff pages...
n) 23967	1/3/2023	.3 hours	HT	Redo tariff pages...
o) 23967	12/28/2022	.4 hours	AA	Review PUC tariff rules
p) 23967	1/3/2023	.3 hours	AA	Review PUC filing for tariff pages...

RESPONSE DOE 4-3

<sup>1</sup> This Order was subsequently revised on June 10, 2022, and July 20, 2022.

Invoice # 23603 – The charges shown above were not included in the requested rate case expenses. The charges on invoice 23603 for Step rate case expenses were \$600.01 incurred by Melissa Budek.

Invoice # 23761 – Only 0.2 hours of the 0.6 hours should have been charged to rate case expenses.

Invoice # 23967 – None of the listed charges were included in the requested rate case expenses. The charges on these invoices related to the Step rate case expenses were \$1,232.79.

Date Request Received: 1-2-2024  
Request No. DOE 4-4

Date of Response: 1-12-2024  
Witness: Stephen P. St. Cyr

**Reference: HAWC’s Step I (DW 20-117) & Step II (DW 23-020) Step Expenses Filing (Dec. 7, 2023)**

After review of the redacted Lewis Builders Development Inc. (LBDI) invoices and supporting timesheets, it appears the following 19.0 hours, on the respective dates, by the respective employees, relates to “PUC”/ DOE Audit Responses. Please explain further why these charges should be allowed to be recovered as part of this request, or update HAWCs step expense request to omit them. Please be specific and provide additional documentation if any, and please identify the Audit request or resulting Audit “Final Report,” at issue for each invoice.

<u>Invoice #</u>	<u>Date</u>	<u>Time</u>	<u>Who</u>	<u>Topic</u>
a) 23541	9/29/2022	1.0 hours	JS	PUC Audit Responses
b) 23541	10/7/2022	1.0 hours	JS	PUC Audit Responses
c) 24349	4/11-15/2023	1.0 hours	CL	PUC Audit Responses
d) 24349	4/4/2023	5.0 hours	JS	PUC Audit Responses
e) 24349	4/5/2023	4.0 hours	JS	PUC Audit Responses
f) 24349	4/6/2023	2.0 hours	JS	PUC Audit Responses
g) 24349	4/7/2023	1.0 hours	JS	PUC Audit Responses
h) 24349	4/17/2023	4.0 hours	JS	PUC Audit Responses
i) 24450	4/26/2023	1.0 hours	JS	PUC Audit Responses
j) 24450	4/27/2023	1.5 hours	JS	PUC Audit Responses

RESPONSE 4-4

These charges are audit related and should not be charged to the step expenses. As such, HAWC agrees to omit them from the H AWC step expenses.

Date Request Received: 1-2-2024  
Request No. DOE 4-5

Date of Response: 1-12-2024  
Witness: Stephen P. St. Cyr

**Reference: HAWC’s Step I (DW 20-117) & Step II (DW 23-020) Step Expenses Filing (Dec. 7, 2023)**

The Settlement Agreement for Step II, page 9, Section G, states: “The Settling Parties agree and recommend the Commission approve the Company’s recovery of its reasonable rate case expenses for this proceeding, as well as the known expenses for the Step I proceeding incurred on or before August 16, 2023...”

The Excel file, and supporting redacted documentation, submitted by the Company, indicates several invoices with charges totaling approximately \$3,590.40 were incurred after August 16, 2023, and may relate to either Step 1, and /or the topic, and subsequent filing for, what HAWC has identified in DW 20-117 as “Lost Revenue,” meaning Step I revenue HAWC did not recover during a period of suspension following a party’s motion for rehearing. For each Lewis Builders Development Inc. (LBDI), HAWC and St. Cyr invoice listed below, please provide details about the question topic, schedule, or adjustment at issue. Has the “Lost Revenue” issue HAWC raised been fully adjudicated? Please explain further why, in the Company’s opinion, the following charges should be eligible for recovery as part of this request regarding expenses necessary to implement Step I and Step II rate adjustments. Cf. NH Admin Rules Chapter 1900; see DOE Brief (Dec. 7, 2023) at 5 and 10 (request for relief “C” and “D”).

<u>Invoice #</u>	<u>Date</u>	<u>Amount</u>	<u>Reason</u>
a) LBDI Invoice 24857	8/31/2023	\$ 450.96	Step 1 After 8/16/2023
b) LBDI Invoice 24982	9/30/2023	\$ 81.78	Step 1 After 8/16/2023
c) LBDI Invoice 25134	10/31/2023	\$1,134.00	Step 1 After 8/16/2023
d) HAWC Labor	11/1/2023	\$ 437.40	Step 1 After 8/16/2023
e) LBDI Labor	11/30/2023	\$ 717.90	Step 1 After 8/16/2023
f) St Cyr Invoice	9/1/2023	\$ 253.13	Step 1 After 8/16/2023
g) St Cyr Invoice	11/3/2023	\$ 75.00	Step 1 After & Lost Revenue
h) St Cyr Invoice	12/1/2023	\$ 440.63	Step 1 After & Lost Revenue

**RESPONSE 4-5**

August 16, 2023, is the date the NHPUC issued the order denying Ms. Steele’s motion for rehearing. As such, it is reasonable for the Company to have a short time after the date to review, process and implement the result of the order, i.e., reinstitute the step 1 rates.

During the time in which the Step 1 rates were suspended, HAWC lost revenue that was agreed to by the parties and approved by the Commission. With the Commission denying Ms. Steele’s

motion for rehearing, the Company calculated the amount of revenue lost, evaluated the period over which to propose recovery, determined the appropriate recovery period and prepared the filing. On November 17, 2023, the Company filed cover letter, Motion to Recover Step 1 Lost Revenue and Attachment. The costs that the Company incurred related to lost revenue pertain to the calculation, the recovery period and the filing. These costs are related to Step 1. It is reasonable for the Company to recover such costs as part of the Step 1 rate case expenses.

Date Request Received: 1-2-2024  
Request No. DOE 4-6

Date of Response: 1-12-2024  
Witness: John Sullivan

**Reference: HAWC's Step I (DW 20-117) & Step II (DW 23-020) Step Expenses Filing (Dec. 7, 2023**

Please provide the schedules referenced in HAWC's cover letter, said schedules described as summarizing Step I and Step II expenses. Please provide them in PDF format, live Excel Format, and in confidential and redacted versions (if appropriate).

RESPONSE 4-6

See attached.



1/11/2024

**HAMPSTEAD AREA WATER CO.**  
 Deferred Rate Case Expenses - Steps 1 & 2  
 12/4/23

REDACTED  
 Page 1 of 2

Date	Description	Description	Amount	STEP 1		STEP 2		Raftelis
				HAWC	PUC	HAWC	PUC	
10/31/22	LBD # 23541		233.46	233.46				
11/1/22	STCYR		444.06	444.06				
11/1/22	STCYR		18.13			18.13		
11/28/22	LBD # 23603		600.01			600.01		
12/1/22	STCYR		598.13	598.13				
12/31/22	LBD # 23761		1,037.53	1,037.53				
12/31/22	LBD # 23761		714.20			714.20		
	<b>Balance 12/31/22</b>		<b>3,645.52</b>	<b>2,313.18</b>	<b>0.00</b>	<b>1,332.34</b>	<b>0.00</b>	
1/2/23	Steve Sy Cyr		1,622.19	1,622.19				
1/3/23	NHENERGY	Brogan	337.50		337.50			
1/31/23	LBD # 23967		1,232.79	1,232.79				
2/3/23	Steve Sy Cyr		1,106.25	1,106.25				
2/28/23	LBD # 24098		311.45	311.45				
2/28/23	LBD # 24098		233.45			233.45		
3/3/23	Steve Sy Cyr		328.12	328.12				
3/3/23	Steve Sy Cyr		881.25			881.25		
4/1/23	Steve Sy Cyr		206.25	206.25				
4/1/23	Steve Sy Cyr		534.38			534.38		
4/30/23	Steve Sy Cyr		2,315.63	2,315.63				
4/30/23	Steve Sy Cyr		956.25			956.25		
4/30/23	LBD # 24349		1,472.14	1,472.14				
4/30/23	LBD # 24349		4,027.58	4,027.58				
4/30/23	LBD # 24349		1,879.82			1,879.82		
5/1/23	NHENERGY	Brogan	562.50		562.50			
5/25/23	Patnaude		791.00		791.00			
5/25/23	Patnaude		218.50				218.50	
5/31/23	LBD # 24450		2,892.75	2,892.75				
5/31/23	LBD # 24450		1,828.14			1,828.14		
5/31/23	Steve Sy Cyr		2,103.38	768.75		1,334.63		
6/30/23	LBD # 24575		3,779.57	1,722.30		2,057.27		
7/1/23	Steve Sy Cyr		1,481.25	150.00		1,331.25		
7/31/23	LBD # 24709		3,519.99	359.85		3,160.14		
8/1/23	Steve Sy Cyr		1,856.25			1,856.25		
8/8/23	NHENERGY	Brogan	885.50				885.50	
8/31/23	LBD # 24857		2,489.70	450.96		2,038.74		
9/1/23	Steve Sy Cyr		2,071.88	253.13		1,818.75		
9/6/23	NHENERGY	Brogan	654.50		38.50		616.00	
9/30/23	LBD # 24982		1,811.48	81.78		1,729.70		
10/31/23	LBD # 25134		2,654.09	567.00		2,087.09		
10/31/23	LBD # 25134		1,875.57	567.00		1,308.57		
11/3/23	Steve Sy Cyr		1,813.00	75.00		1,738.00		
11/7/23	NHENERGY	Brogan	1,039.50				1,039.50	
11/14/23	Raftelis		2,851.11					2,851.11
11/30/23	LBD		1,686.96	717.90		969.06		
11/1/23	HAWC labor		437.40	437.40				
11/29/23	Patnaude		828.75				828.75	
12/1/23	Steve Sy Cyr		796.88	440.63		356.25		
			0.00					
	<b>Balance 12/4/23</b>		<b>62,020.21</b>	<b>24,420.02</b>	<b>1,729.50</b>	<b>29,431.33</b>	<b>3,588.25</b>	<b>2,851.11</b>

1/11/2024

**HAMPSTEAD AREA WATER CO.**  
Deferred Rate Case Expenses - Steps 1 & 2  
12/4/23

REDACTED  
Page 2 of 2

Date	Description	Description	Amount	STEP 1		STEP 2		Raftelis
				HAWC	PUC	HAWC	PUC	
	<b>Estimate to Complete:</b>							
	Steve St Cyr - December		600.00	300.00			300.00	
	Steve St Cyr - 1/24 to 3/24		900.00				900.00	
	Heidi T. - w/e 12/1/23		154.15				154.15	
	Heidi T. - w/e 12/8/23 est.		256.92				256.92	
	Heidi T - 1/24 to 3/24		385.38				385.38	
	Tony A. - w/e 12/1/23		1,030.43				1,030.43	
	Tony A. - w/e 12/8/23 est.		245.34				245.34	
	Tony A - 1/24 to 3/24		981.36				981.36	
	Charlie - w/e 12/1/23		0.00				0.00	
	Charlie - w/e 12/8/23 est.		327.14				327.14	
	Charlie - 1/24 to 3/24		490.71				490.71	
	John - w/e 12/1/23		0.00				0.00	
	John - w/e 12/8/23 est.		453.60				453.60	
	John - 1/24 to 3/24		680.40				680.40	
	Raftelis		0.00					0.00
	PUC Costs 1/24 to 3/24	Patnaude	900.00				900.00	
	<b>Totals</b>		<u>69,425.64</u>	<u>24,720.02</u>	<u>1,729.50</u>	<u>29,431.33</u>	<u>10,693.68</u>	<u>2,851.11</u>
	<b>December Actuals &amp; Revised Estimate to Complete:</b>							
1/1/24	Steve St Cyr		975.00	571.88			403.12	
12/31/23	LBD # 25396		3,019.60	114.49			2,905.11	
est.	Heidi T - 1/24 to 3/24		385.38				385.38	
est.	Tony A - 1/24 to 3/24		981.36				981.36	
est.	Charlie - 1/24 to 3/24		490.71				490.71	
est.	John - 1/24 to 3/24		680.40				680.40	
est.	Steve St Cyr - 1/24 to 3/24		900.00				900.00	
	<b>Sub-total - December &amp; 2024</b>		<u>7,432.45</u>	<u>686.37</u>	<u>0.00</u>	<u>0.00</u>	<u>6,746.08</u>	<u>0.00</u>
	<b>REVISED TOTAL</b>		<u>69,452.66</u>	<u>25,106.39</u>	<u>1,729.50</u>	<u>29,431.33</u>	<u>10,334.33</u>	<u>2,851.11</u>

SEE ATTACHED.

Date Request Received: 1-2-2024

Date of Response: 1-12-2024

Request No. DOE 4-7

Witness: John Sullivan

**Reference: HAWC's Step I (DW 20-117) & Step II (DW 23-020) Step Expenses Filing (Dec. 7, 2023**

Regarding the "estimate to complete" total of approximately \$7,400 included as part of the Company's instant request, please provide additional information and details regarding:

- a) how the Company determined the number of hours necessary to complete work in this docket; and
- b) provide an update, as well as the supporting documentation, for the actual costs incurred in December 2023.

**RESPONSE 4-7**

- a) The Company reviewed the procedural schedule for the Step Expense Filing, which includes 2 rounds of data requests, a virtual technical session, DOE recommendation, and a hearing. Based on this schedule the Company estimated two hours for the virtual technical session, two hours for the hearing, and two hours to respond to two rounds of data requests and assess DOE's recommendation totaling six hours each for John Sullivan, Anthony S. Augeri and Heidi K. Tombarello. Charlie Lanza estimated three hours total for his participation.
- b) See attached.

REDACTED

### INVOICE

**Lewis Builders Development Inc**  
54 Sawyer Ave  
Atkinson, NH 03811

Invoice Number: 25396  
Invoice Date: 12-31-2023  
Customer ID: HAWC

To: **HAMPSTEAD AREA WATER CO., INC.**  
54 SAWYER AVE  
ATKINSON, NH 03811

Job Location:  
Job # 19-9850  
2020 HAWC Rate Case  
Atkinson, NH

Fixed Asset No:

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<u>Item</u>	<u>Description</u>	<u>Unit of</u> <u>Units Measure</u>	<u>Unit Price</u>	<u>Amount</u>
1	Work Performed during the Month of December 2023			3,058.16

Amount Billed \$3,058.16

Retainage Held

Amount Due \$3,058.16

DATE DUE: 01-30-2024

A finance charge of 2% per month assessed on all invoices not paid by the due date.

REDACTED

1/2/2024

**Job Cost Transaction Report for  
 LBD to HAWC Jobs - 12/1/2023 to 12/31/2023**

Labor Burden 0.50  
 Overhead 0.08

Job # 19:9850, 2020 HAWC Rate Case

				<u>EQ ID</u>	<u>Units</u>
01-070	Legal				
<del>12/3/2023</del>	L	TOMBARELLO, HEIDI K			
		Total - Legal			
			Sub-Total		
STEP 1					
01-070	Legal				
<del>12/29/2023</del>	L	AUGERI, ANTHONY			114.49
<del>12/6/2023</del>	L	TOMBARELLO, HEIDI K			(44.97)
<del>12/8/2023</del>	L	TOMBARELLO, HEIDI K			44.97
		Total - Legal			114.49
			Sub-Total		114.49
STEP 2					
01-070	Legal				
<del>12/15/2023</del>	L	AUGERI, ANTHONY			425.25
<del>12/22/2023</del>	L	AUGERI, ANTHONY			65.42
<del>12/29/2023</del>	L	AUGERI, ANTHONY			147.21
<del>12/8/2023</del>	L	AUGERI, ANTHONY			1,030.42
<del>12/15/2023</del>	L	TOMBARELLO, HEIDI K			257.01
<del>12/8/2023</del>	L	TOMBARELLO, HEIDI K			109.22
<del>12/8/2023</del>	L	TOMBARELLO, HEIDI K			44.97
		Total - Legal			2,079.50
01-120	Project Manager				
<del>12/15/2023</del>	L	LANZA, CHARLES P			327.14
		Total - Project Manager			327.14
99-923	Accounting & Management				
<del>12/15/2023</del>	L	SULLIVAN, JOHN F			498.47
		Total - Accounting & Management			498.47
			Sub-Total		2,905.11
		Job Total for Last Month			3,058.16

REDACTED

LEWIS BUILDERS DEVELOPMENT, INC. *Salary*  
 84 SAWYER AVENUE  
 ATKINSON, NH 03811

EMPLOYEE #: 2018

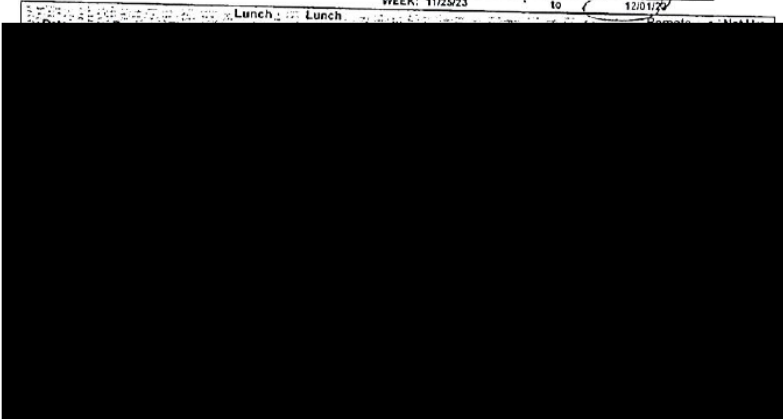
NAME: Heidi Tombarello

Signature: *[Handwritten Signature]*

WEEK: 11/25/23

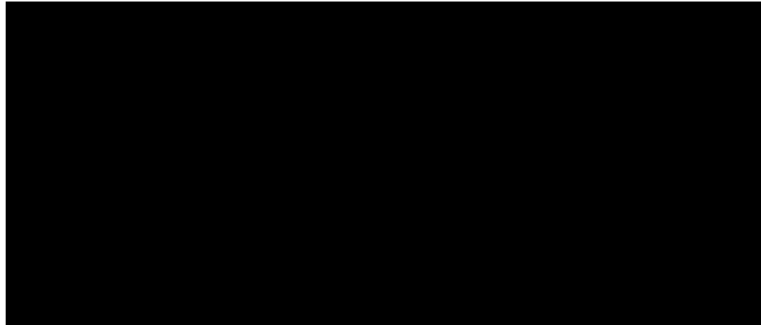
to

12/01/23

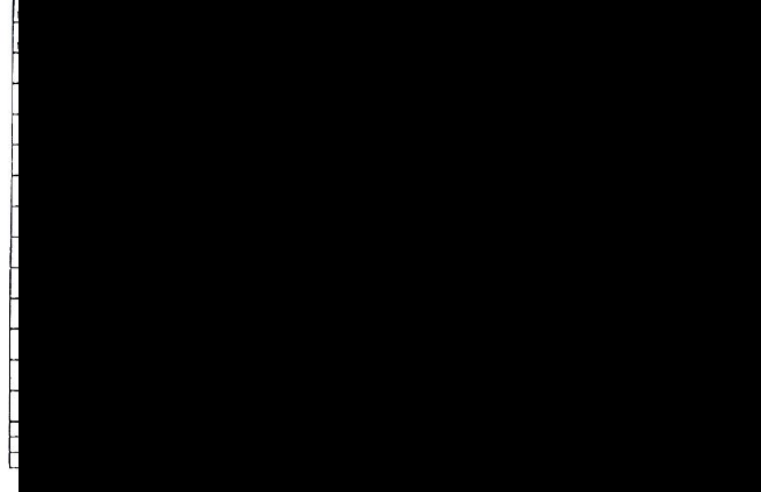


DATE	JOB #	Extra	PHASE CODE	ACTIVITY	HOURS
11/27/23	19-9850	Step I and II	01-070	Meet with Tony re: Step I and Step II rate case expense recovery memorandum	1.3
11/29/23	19-9850	Step II	01-070	Draft cover letter and make revisions to annotated tariff pages per DOE review, then file the pages and CL with PUC	0.75
11/30/23	19-9850	Step II	01-070	Final revision of Legal Brief for rate case expenses, then to Tony	0.75

REDACTED



12/01/23	15-3850	Step 1 and II 01-020	Final draft of legal brief review and then to group for further review	7
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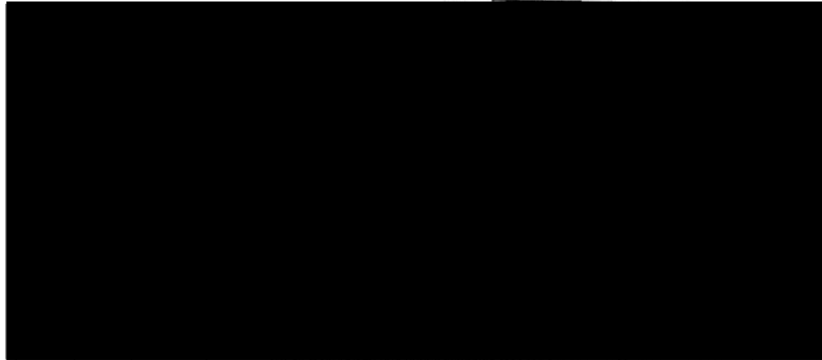


REDACTED

LEWIS BUILDERS DEVELOPMENT, INC.  
54 SAWYER AVENUE  
ATKINSON, NH 03811

EMPLOYEE #: 140  
NAME: Anthony Augeri

PAGE 2



12/21/23	18-9850	Step I	01-070	Review proposed procedural schedule for Step I recovery of lost revenue from DOE and email to Mary Schwarzer.	10:30
12/21/23	19-9850	Step II	01-070	Review proposed procedural schedule for recovery of Step I and Step II rate case expenses and email to Mary Schwarzer company assent.	10:30



REDACTED

LEWIS BUILDERS DEVELOPMENT, INC.  
54 SAWYER AVENUE  
ATKINSON, NH 03811

*Galley*

EMPLOYEE #: 140

NAME: Anthony Augeri

Signature: *[Signature]*

WEEK: 12/02/23 to 12/08/23

Date	Day	Time In	Out	In	Time Out	
12/04/23	19:58:50	Step II	01-070	Work on legal brief in support of step rate case expense recovery including review of Puc rules and case decisions		08
12/06/23	19:58:50	Step II	01-070	Review case law for support of legal brief in support of step rate case expenses		08

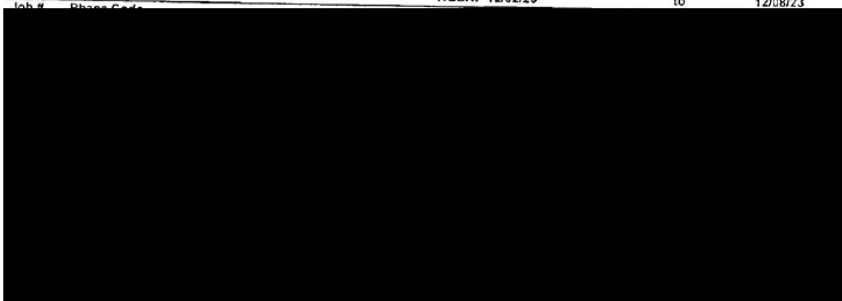
REDACTED

LEWIS BUILDERS DEVELOPMENT, INC.  
54 SAWYER AVENUE  
ATKINSON, NH 03811

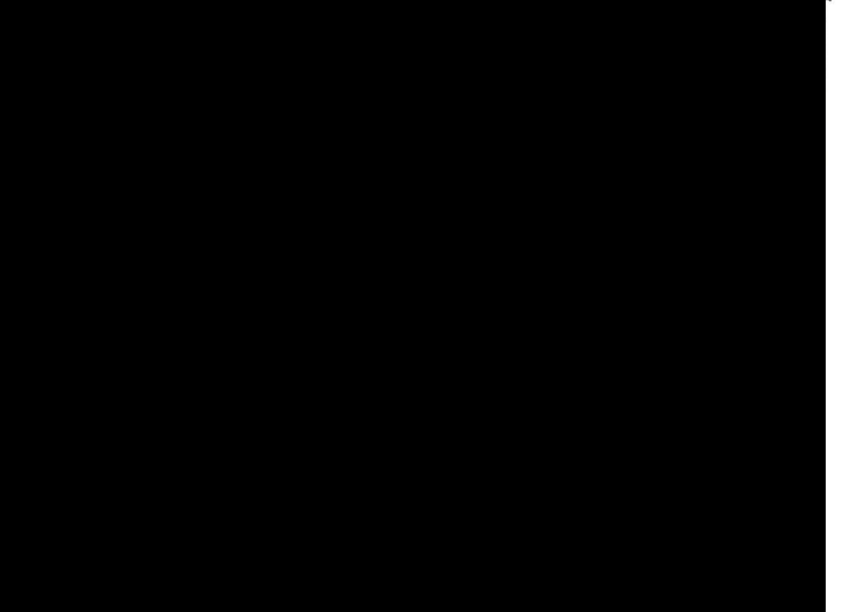
EMPLOYEE #: 140  
NAME: Anthony Augeri

PAGE 2

WEEK: 12/02/23 to 12/08/23



DATE	TIME	CODE	ACTIVITY	HOURS
12/07/23	19-9850	Step II	01-070 Final review of legal brief, motion for confidential treatment, and schedules in support of step rate case expenses prior to filing with PUC	1.0



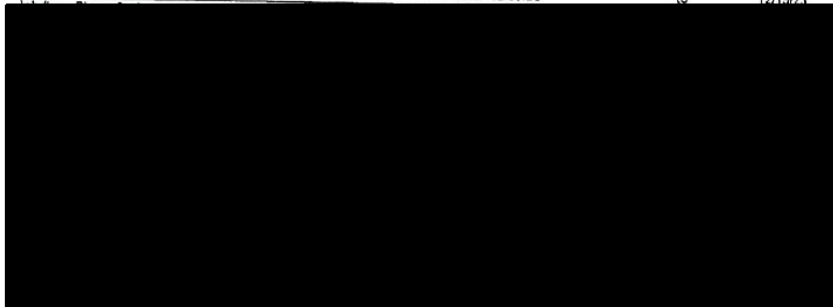
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LEWIS BUILDERS DEVELOPMENT, INC.  
54 SAWYER AVENUE  
ATKINSON, NH 03811

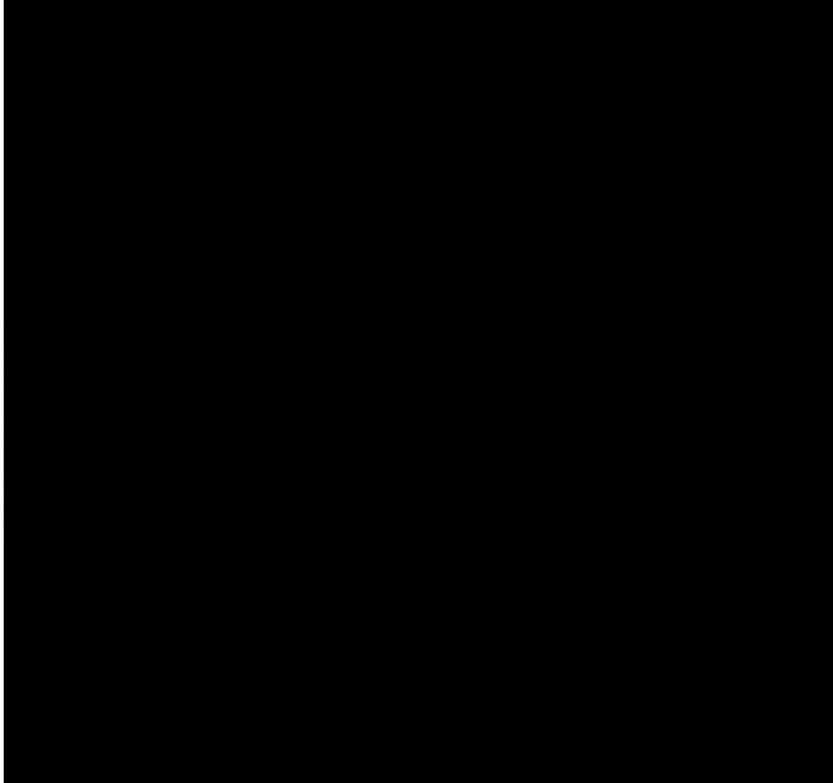
EMPLOYEE #: 140  
NAME: Anthony Augeri

PAGE 2

WEEK: 12/09/23 to 12/15/23



12/13/23	19:58:50	Step II	01-070	Review DOE legal brief and proposed procedural schedule sent by Mary by email and call with Mary to discuss	0.2
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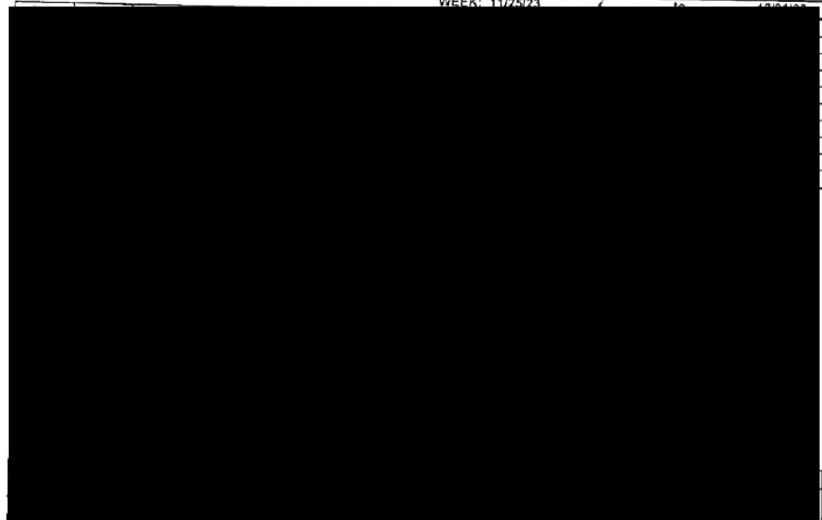


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LEWIS BUILDERS DEVELOPMENT, INC.  
54 SAWYER AVENUE  
ATKINSON, NH 03811

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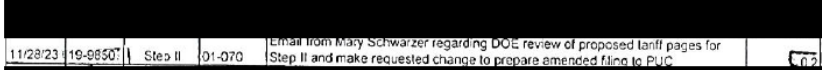
EMPLOYEE #: 140  
NAME: Anthony Augeri  
Signature: \_\_\_\_\_  
WEEK: 11/25/23



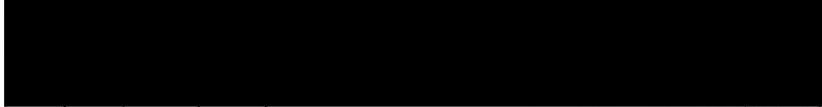
11/27/23	19-9850	Step II	01-070	Work on legal brief in support of recovery of Step rate case expenses	1.21
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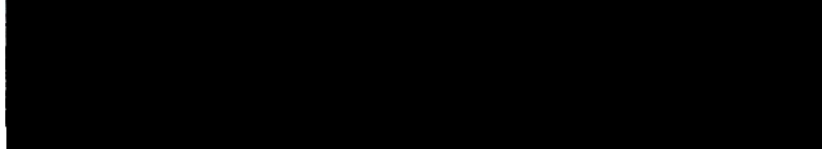
11/28/23	19-9850	Step II	01-070	Continue work on legal brief in support of recovery of Step rate case expenses including legal research	1.22
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11/28/23	19-9850	Step II	01-070	Email from Mary Schwarzer regarding DOE review of proposed tariff pages for Step II and make requested change to prepare amended filing to PUC	1.23
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11/29/23	19-9850	Step II	01-070	Revise legal brief supporting recovery of step rate case expenses	1.24
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LEWIS BUILDERS DEVELOPMENT, INC.  
54 SAWYER AVENUE  
ATKINSON, NH 03811

EMPLOYEE #: 140  
NAME: Anthony Augeri

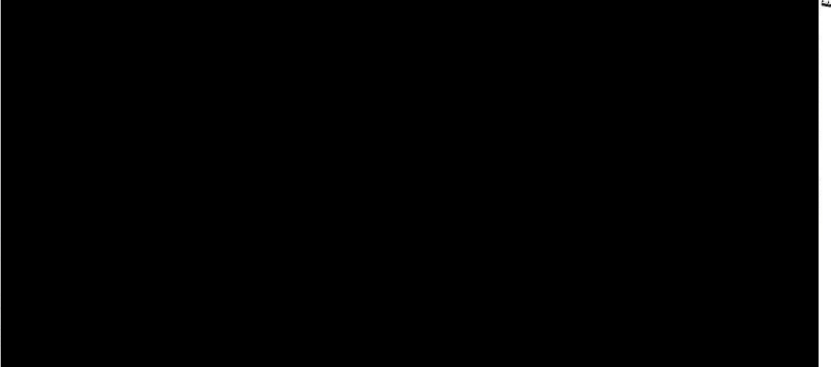
PAGE 2

WEEK: 11/25/23 to 12/01/23

Job # Phase Code Job # Phase Code



12/01/23	19-9850	Step 11	01-070	Review Order No. 26,609 and cover letter to commission requesting correction of scrivener's error	03
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LEWIS BUILDERS DEVELOPMENT, INC.  
54 SAWYER AVENUE  
ATKINSON, NH 03811

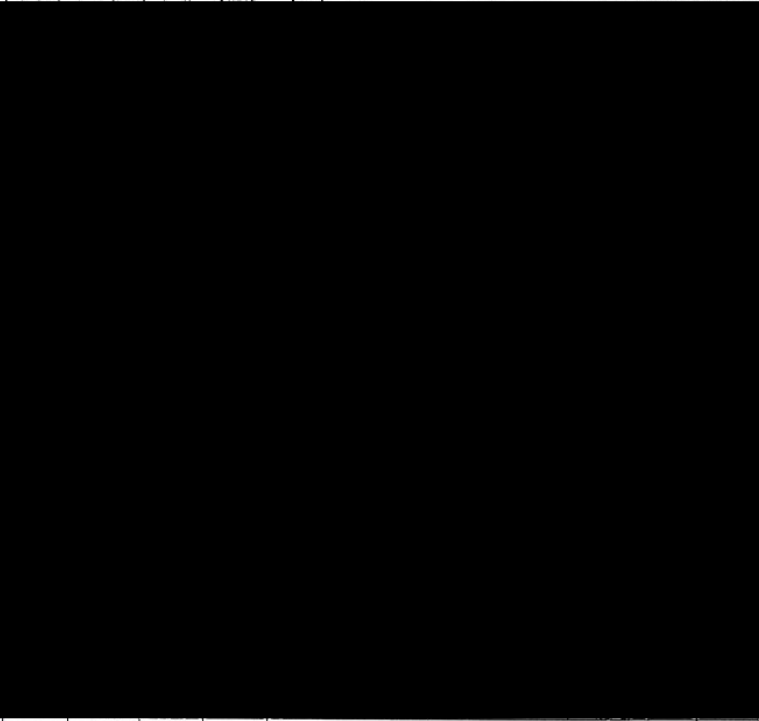
EMPLOYEE #: 2018

NAME: Heidi Tombarello

Signature: 

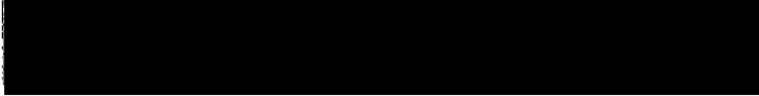
WEEK: 12/02/23

to 12/08/23



12/06/23	18-9850	step II	01-070	Redact Rate Case Expense Supporting documents and then draft motion for confidential treatment and cover letter for filing	3.5
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12/07/23	18-9850	step II	01-070	Complete filing for PUC including redacted and confidential attachments, then file motions and CL with supporting documents to PUC	0.5
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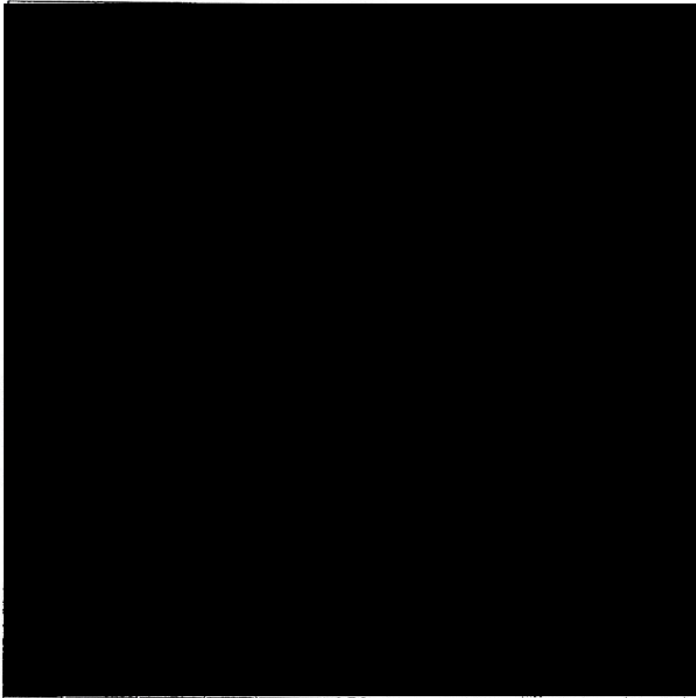
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LEWIS BUILDERS DEVELOPMENT, INC.  
54 SAWYER AVENUE  
ATKINSON, NH 03811

*Salary*

Empl. #: 1204  
Name: Charles Lanza  
Signature: \_\_\_\_\_

Week: 12/02/23 To: 12/08/2023



19-0150-step23	01-20	Review misc. PLC documents for rate case and steps	1200	
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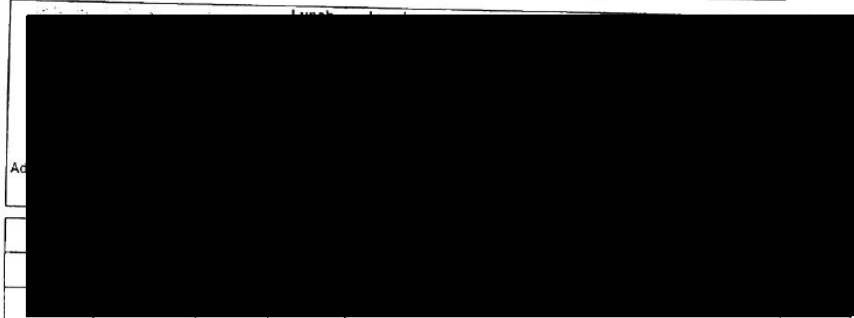
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LEWIS BUILDERS DEVELOPMENT, INC.  
54 SAWYER AVENUE  
ATKINSON, NH 03811

*Salary*

Empl. #: 1955  
Name: John Sullivan  
Signature: *John Sullivan*

Week: 12/2/23 To: 12/8/23



12/5/23	19-9850	99-923	Step 2	Prepare rate case expense spreadsheet	3.00
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12/6/23	19-9850	99-923	Step 2	Review rate case expenses and filing	1.00
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REDACTED

Stephen P. St. Cyr & Associates  
17 Sky Oaks Drive  
Biddeford, Me. 04005  
207-423-0215  
stephenpstcyr@yahoo.com

January 1, 2024

John Sullivan  
Lewis Builders  
54 Sawyer Avenue  
Atkinson, N. H. 03811

Hampstead Area Water Company

Re: Year End and Rate Case

Fee for professional services rendered December 2023 (see attached detail):

Current Month:

Year End / Other & Step 1 & 2 Work	[REDACTED]	\$ 975.00
Reimbursable Expenses:		
Scan / Email		0.00
Mileage (xx miles x \$0.625)		<u>0.00</u>
Total Current Month Billing		<u>\$ 975.00</u>

Payment due upon receipt.

If you have any questions or comments, please call me at 207-423-0215.

Sincerely,

Stephen P. St. Cyr

REDACTED

<u>Date</u> <u>Year End / Other</u>	<u>Description of Service</u>	<u>Hours</u>
Total		<u>0.00</u>
<u>HAWC 2020 Rate Case (DW 20-117)</u>		
Total		<u>0.00</u>
<u>HAWC 2020 Rate Case (DW 20-117) – Step 1</u>		
12/01	Review John's email re: DOE obj; Review Tony's reply; Review DOE oby; Reply to email re: same; Review Heidi's email re: Draft legal brief re: Step 1 & 2 exp	0.8125
12/04	Review draft legal brief; Review original RC Exh; Reply to email; Review Heidi's email re: Edits to draft	0.375
12/05	Review John's email re: comment; Review Heidi's reply; Review edits to draft; Reply to same; Review John's email re: Step 1 & 2 costs; review costs	0.3125
12/06	Review John's email re: updated sch; Review same; Prepare Dec 2023 est exp; Prepare Jan – Mar 2024 est exp; Reply to email; Review John's reply; Develop Jan – Mar 2024 est; Reply to email	0.375
12/07	Review Heidi's email re: Steps 1 & 2 RC Exp filing; Review cover ltr; Review Legal Brief in Support of Step RC Exp Recovery; Reply to email; Review John's email re: same; Review DOE email re: Brief on Step Adj Exp; Review cover ltr; Review tech stat; Review brief; Prepare email re: same; Review Heidi's email re: Cover ltr, Legal Brief, Motion, Exh A and sup docs; Review cover ltr, Legal Brief & Exh A	0.75
12/12	Review Tony's email re: DOE prop proc sch; Review DOE email; Review DOE prop proc sch; Review Tony's email re: DOE prop Proc sch	0.125
12/20	Review PUC email re: Step Adj RC Exp & Denying Motion for Clarity; Review same; Review Tony's email re: same; Review PUC email re: Order Re: Timeliness of Motion to Recover lost Step Rev; Review same	0.50
12/21	Review Step Adj RC Exp & Denying Motion for Clarity; Reply to Tony's email re: same; Review PUC order on Timeliness of Motion to Recover lost Step Rev; Review DOE's email re: proposed proc sch; Reply to same; Review var replies	<u>0.5625</u>
Total		<u>3.8125</u>
<u>HAWC 2020 Rate Case (DW 23-020) – Step 2</u>		
12/01	Review Heidi's email re: Draft legal brief re: Step 1 & 2 exp; Review Heidi's email re: Scrivener's error	0.1875
12/04	Review draft legal brief; Review original RC Exh; Reply to email; Review Heidi's email re: Edits to draft	0.375
12/05	Review John's email re: comment; Review Heidi's reply; Review edits to draft; Reply to same; Review John's email re: Step 1 & 2 costs; review costs	0.3125
12/06	Review John's email re: updated sch; Review same; Prepare Dec 2023 est exp; Prepare Jan – Mar 2024 est exp; Reply to email; Review John's reply; Develop Jan – Mar 2024 est; Reply to email	0.375
12/07	Review Heidi's email re: Steps 1 & 2 RC Exp filing; Review cover ltr; Review Legal Brief in Support of Step RC Exp Recovery; Reply to email; Review John's email re: same; Review DOE email re: Brief on Step Adj Exp; Review cover ltr; Review tech stat; Review brief; Prepare email re: same; Review Heidi's email re: Cover ltr, Legal Brief, Motion, Exh A and sup docs; Review cover ltr, Legal Brief & Exh A	0.75
12/12	Review Tony's email re: DOE prop proc sch; Review DOE email; Review DOE prop proc sch; Review Tony's email re: DOE prop Proc sch	0.125
12/20	Review PUC email re: Step Adj RC Exp & Denying Motion for Clarity; Review same	0.125
12/21	Review Step Adj RC Exp & Denying Motion for Clarity; Reply to Tony's email re: same; Review DOE's email re: proposed proc sch; Reply to same; Review var replies	<u>0.4375</u>
Total		<u>2.6875</u>

REDACTED

HAWC PUC Investigation of Step Adjustment Methodology and Process

Total	<u>0.00</u>
Grand Total	<u>6.50</u>
SPSt. Cyr 1/01/24	

**DW 23-020**  
**HAMPSTEAD AREA WATER COMPANY, INC.**  
**REQUEST FOR STEP II ADJUSTMENT**

**DEPARTMENT OF ENERGY DATA REQUESTS SET 5 (Step I and II Expenses)**

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These data requests shall reference the Hampstead Area Water Company, Inc. as “HAWC” or “the Company,” and reference the New Hampshire Department of Energy as “DOE” or “the Department.”

Date Request Received: 1-26-2024  
Request No. DOE 5-1

Date of Response: 2-5-2024  
Witness: JOHN

**DOE DR 5-1**

Reference: HAWC’s December 7, 2023 Cover Letter and Filing “Rate Case Expenses;” and HAWC’s response to DOE DR 4-1

- a. Please confirm that, as described by HAWC, the total expense adjustment in HAWC’s response to DOE DR 4-1 of approximately \$3,400, bringing the initial total from approximately \$66K to approximately \$69.5K was solely due to spreadsheet (mathematical) error. In other words, five items submitted, as part of the original request, were inadvertently omitted from the calculated total of \$65,987.79, thus resulting in an updated total amount of \$69,425.64.
- b. Please also confirm that the phrases in the Company’s response to DOE 4-3 “not included in the requested rate case expenses,” “charged to rate case expenses” and “the requested rate case expenses” mean “not included in the **step expenses** now at issue in this docket,” and “charged to **step expenses**” and “the requested **step expenses**,” respectively. (Given the recent PUC Order, the expenses at issue can no longer be referred to as “rate case expense.”)

RESPONSE DOE 5-1

- a. That is correct.
- b. That is correct.

Date Request Received: 1-26-2024  
Request No. DOE 5-2

Date of Response: 2-5-2024  
Witness: JOHN

**DOE DR 5-2**

Reference: HAWC's December 7, 2023 Cover Letter and Filing "Rate Case Expenses;" and HAWC's Response to DOE Set 4

Please state whether the Company has any other expenses, currently included in the \$69,452.66 amount that are related to its "Lost Revenue" filing, other than those previously identified in the Company's response to DOE DR 4-5, and if so, please include the updated amounts in the calculation of HAWC's updated Step Expenses in DOE DR 5-3.

RESPONSE DOE 5-2

The Company has no other expenses related to "Lost Revenue" other than identified in its response to 4-5.

Date Request Received: 1-26-2024  
Request No. DOE 5-3

Date of Response: 2-5-2024  
Witness: John Sullivan

### **DOE DR 5-3**

Reference: HAWC's December 7, 2023 Cover Letter and Filing "Rate Case Expenses;" and HAWC's responses to DOE DR Set 4

Please provide an update to the Step I and II Expense recovery amount in the Company's response to DOE 4-1, and the related updated monthly recovery amount, including but not limited to the following adjustments with each item listed separately:

- a. The reduction of expenses noted in the Company's response to DOE 4-3 (Invoice #23761); and
- b. The reduction of expenses noted in the Company's response to DOE 4-4; and
- c. The reduction of expenses associated with work performed after 8/16/2023<sup>1</sup> and the filing of the "Lost Revenue" motion as indicated in the Company's response to DOE 4-5 (segregating cumulative change by reason i.e., "Lost Revenue" or "after 8/16/23"—if the invoice may fit in both categories, please defer to "Lost Revenue"); and
- d. The reduction of the estimated time associated with a hearing for the Step Expense Recovery request as indicated in the Company's response to DOE 4-7, given that in the DOE's understanding a hearing on step expenses is unlikely;
- e. The replacement of estimated expenses with final expenses, as noted in the Company's response to DOE 4-7, up to and including the time required to file the Company's responses to these DR's, DR Set 5, thus resulting in the following anticipated estimated expenses: time to review a draft of *DOE's Draft Report on Rate Case Expenses* (to be afforded to all parties) and time to implement the recovery of Step Expenses as anticipated to be authorized by the Commission. Please identify any other anticipated estimated expenses with a specific description, estimated duration, and estimated cost.

### RESPONSE DOE 5-3

- a. Reduction of \$24.05.
- b. Reduction of \$2,745.78.
- c. Reduction of \$3,590.80.
- d. Reduction of \$1,309.52.
- e. See Revised Step Expenses - \$61,782.51.

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<sup>1</sup> Note, DOE's proposed exclusion of expenses "after 8/16/23" is based on the language that appears in this docket, Exhibit 1, *Settlement Agreement --Step II Rates* at 9.

2/5/2024

**HAMPSTEAD AREA WATER CO.**  
Deferred Step Expenses - Steps 1 & 2  
2/5/24

Page 1 of 2  
Attachment 3

Date	Description	Description	Amount	STEP 1		STEP 2		Raftelis
				HAWC	PUC	HAWC	PUC	
10/31/22	LBD # 23541		0.00	0.00				
11/1/22	STCYR		444.06	444.06				
11/1/22	STCYR		18.13			18.13		
11/28/22	LBD # 23603		600.01			600.01		
12/1/22	STCYR		598.13	598.13				
12/31/22	LBD # 23761		1,037.53	1,037.53				
12/31/22	LBD # 23761		690.15			690.15		
	<b>Balance 12/31/22</b>		<b>3,388.01</b>	<b>2,079.72</b>	<b>0.00</b>	<b>1,308.29</b>	<b>0.00</b>	
1/2/23	Steve Sy Cyr		1,622.19	1,622.19				
1/3/23	NHENERGY	Brogan	337.50		337.50			
1/31/23	LBD # 23967		1,232.79	1,232.79				
2/3/23	Steve Sy Cyr		1,106.25	1,106.25				
2/28/23	LBD # 24098		311.45	311.45				
2/28/23	LBD # 24098		233.45			233.45		
3/3/23	Steve Sy Cyr		328.12	328.12				
3/3/23	Steve Sy Cyr		881.25			881.25		
4/1/23	Steve Sy Cyr		206.25	206.25				
4/1/23	Steve Sy Cyr		534.38			534.38		
4/30/23	Steve Sy Cyr		2,315.63	2,315.63				
4/30/23	Steve Sy Cyr		956.25			956.25		
4/30/23	LBD # 24349		1,308.57	1,308.57				
4/30/23	LBD # 24349		2,529.33	2,529.33				
4/30/23	LBD # 24349		1,312.82			1,312.82		
5/1/23	NHENERGY	Brogan	562.50		562.50			
5/25/23	Patnaude		791.00		791.00			
5/25/23	Patnaude		218.50				218.50	
5/31/23	LBD # 24450		2,892.75	2,892.75				
5/31/23	LBD # 24450		1,544.64			1,544.64		
5/31/23	Steve Sy Cyr		2,103.38	768.75		1,334.63		
6/30/23	LBD # 24575		3,779.57	1,722.30		2,057.27		
7/1/23	Steve Sy Cyr		1,481.25	150.00		1,331.25		
7/31/23	LBD # 24709		3,519.99	359.85		3,160.14		
8/1/23	Steve Sy Cyr		1,856.25			1,856.25		
8/8/23	NHENERGY	Brogan	885.50				885.50	
8/31/23	LBD # 24857		2,038.74	0.00		2,038.74		
9/1/23	Steve Sy Cyr		1,818.75	(0.00)		1,818.75		
9/6/23	NHENERGY	Brogan	654.50		38.50		616.00	
9/30/23	LBD # 24982		1,729.70	0.00		1,729.70		
10/31/23	LBD # 25134		2,087.09	0.00		2,087.09		
10/31/23	LBD # 25134		1,308.57	0.00		1,308.57		
11/3/23	Steve Sy Cyr		1,738.00	0.00		1,738.00		
11/7/23	NHENERGY	Brogan	1,039.50				1,039.50	
11/14/23	Raftelis		2,851.11					2,851.11
11/30/23	LBD		969.06	0.00		969.06		
11/1/23	HAWC labor		0.00	0.00				
11/29/23	Patnaude		828.75				828.75	
12/1/23	Steve Sy Cyr		356.25	(0.00)		356.25		
			0.00					
	<b>Balance 12/4/23</b>		<b>55,659.58</b>	<b>18,933.94</b>	<b>1,729.50</b>	<b>28,556.78</b>	<b>3,588.25</b>	<b>2,851.11</b>



2/5/2024

**HAMPSTEAD AREA WATER CO.**  
 Deferred Step Expenses - Steps 1 & 2  
 2/5/24

Date	Description	Description	Amount	STEP 1		STEP 2		Raftelis
				HAWC	PUC	HAWC	PUC	
<b>Estimate to Complete:</b>								
	Steve St Cyr - December		600.00	300.00			300.00	
	Steve St Cyr - 1/24 to 3/24		900.00				900.00	
	Heidi T. - w/e 12/1/23		154.15				154.15	
	Heidi T. - w/e 12/8/23 est.		256.92				256.92	
	Heidi T - 1/24 to 3/24		385.38				385.38	
	Tony A. - w/e 12/1/23		1,030.43				1,030.43	
	Tony A. - w/e 12/8/23 est.		245.34				245.34	
	Tony A - 1/24 to 3/24		981.36				981.36	
	Charlie - w/e 12/1/23		0.00				0.00	
	Charlie - w/e 12/8/23 est.		327.14				327.14	
	Charlie - 1/24 to 3/24		490.71				490.71	
	John - w/e 12/1/23		0.00				0.00	
	John - w/e 12/8/23 est.		453.60				453.60	
	John - 1/24 to 3/24		680.40				680.40	
	Raftelis		0.00					0.00
	PUC Costs 1/24 to 3/24	Patnaude	900.00				900.00	
	<b>Totals</b>		<b>63,065.01</b>	<b>19,233.94</b>	<b>1,729.50</b>	<b>28,556.78</b>	<b>10,693.68</b>	<b>2,851.11</b>
<b>December Actuals &amp; Revised Estimate to Complete:</b>								
1/1/24	Steve St Cyr		975.00	571.88			403.12	
12/31/23	LBD # 25396		3,019.60	114.49			2,905.11	
est.	Heidi T - 1/24 to 3/24		256.92				256.92	
est.	Tony A - 1/24 to 3/24		654.24				654.24	
est.	Charlie - 1/24 to 3/24		163.57				163.57	
est.	John - 1/24 to 3/24		453.60				453.60	
est.	Steve St Cyr - 1/24 to 3/24		600.00				600.00	
	<b>Sub-total - December &amp; 2024</b>		<b>6,122.93</b>	<b>686.37</b>	<b>0.00</b>	<b>0.00</b>	<b>5,436.56</b>	<b>0.00</b>
	<b>REVISED TOTAL</b>		<b>61,782.51</b>	<b>19,620.31</b>	<b>1,729.50</b>	<b>28,556.78</b>	<b>9,024.81</b>	<b>2,851.11</b>

Date Request Received: 1-26-2024  
Request No. DOE 5-4

Date of Response: 2-5-2024  
Witness: John Sullivan

**DOE DR 5-4**

Reference: HAWC's December 7, 2023, Cover Letter and Filing "Rate Case Expenses;" HAWC's response to DOE 5-3

- a. If the Company is proposing a different Step 1 and 2 Step Expense amount other than the amount calculated in DOE DR 5-3, please provide that amount and explain how that amount is different from the amount indicated in DOE DR 5-3. For example, if HAWC will seek "Lost Revenue" expenses, please indicate that here and explain.
- b. Please update the schedules provided in the Company's response to DOE 4-6, consistent with response to part "a" above.

**RESPONSE DOE 5-4**

- a. The Company is not proposing a different Step 1 and Step 2 Expense amount other than the amount calculated in DOE DR 5-3. However, the Company anticipates filing for recovery of the "lost revenue" expenses once the NH PUC issues an order on the lost revenue.
- b. See Revised Step Expenses - \$61,782.51.

Date Request Received: 1-26-2024  
Request No. DOE 5-5

Date of Response: 2-5-2024  
Witness: John Sullivan

**DOE DR 5-5**

Reference: HAWC's December 7, 2023 Cover Letter and Filing "Rate Case Expenses; HAWC's response to DOE 4-1(b)

Please state the Company's position re: commencing its recovery of the Step I and Step II Expenses as the later of following: a Commission Order approving such expenses or the next billing cycle following the final billing of its Permanent Rate Case Expenses (on or about the May 2024). *See* Order No 26,718 (November 3, 2022) in Docket No. DW 20-117 (authorizing recovery of permanent rate case expenses).

RESPONSE DOE 5-5

The Company is in agreement with that statement.

**Staff of DOE Calculations**

Total Amended Rate Case Expenses per Company	\$ 69,452.66	
Less Unrelated Expenses		\$ (24.05)
Less DOE Audit related Expenses		\$ (2,745.78)
Less Step I Expenses incurred After 8/16/2023		\$ (4,277.17)
Less "Lost Revenue" Filing Expenses		\$ (1,309.52)
Less Total Expenses Removed	\$ (8,356.52)	\$ (8,356.52)
New Total of Rate Case Expenses	\$ 61,096.14	
Divide by current customer base	4,146	
Rate Case Surcharge per customer	\$ 14.74	
Divide by Recovery Timeframe of 4 months	4	
Surcharge per Customer Per Month	\$ 3.68	
Amount collected proof using \$3.68	\$ 61,029.12	
Less Amount Authorized	\$ 61,096.14	
Rounding Variance	\$ (67.02)	