

www.EnergyMarketExchange.com

April 10, 2019

Ms. Debra A. Howland
Executive Director and Secretary
State of New Hampshire
Public Utilities Commission
21 South Fruit Street, Suite 10
Concord, NH 03301-2429

NHPUC 11APR'19AM10:19

Re: EMEX, LLC Renewal Registration of Aggregator

Dear Ms. Howland:

Enclosed please find an original and two (2) copies of EMEX, LLC's Renewal Registration of Aggregator. Included with the registration is a printout from the New Hampshire Secretary of State website showing that EMEX, LLC is in "Good Standing" and that the trade names "EMEX Power" and "Energy Market Exchange" are Active."

The \$125 cashier's check was previously sent with our initial submission attempt on April 5, 2019.

Very truly yours,

Kevin R. Mc Alpin

Kevin R. McAlpin Vice President and General Counsel

**Enclosures** 





#### New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-2431 www.puc.nh.gov

#### **Electric Load Aggregation Application Form**

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

	Indicate whether this application is for an initial registration or for a renewal. Initial ☐ Renewal ⊠			
	Applicant's General Information			
Puc 2006.02(a)	Legal Name	EMEX, LLC		
***	Trade Name (d/b/a) (if applicable)	Energy Market Exchange and EMEX Power		
Puc 2006.02(b)	Business Mailing Address	11011 Richmond Avenue, Suite 500		
		Houston, TX 77042		
	Telephone Number	713-521-9797		
	E-Mail Address	mcalpin.k@emexllc.com		
	Website Address (if applicable)	www.emexllc.com		
Puc 2006.02(c)	Provide the name(s), title(s), b	usiness address(es), telephone number(s), and e-mail address(es) of the applicant, if an principal(s),1 if the applicant is anything other than an individual. Use additional sheets if ace provided on the form.		
	Name	Daniel Marzuola		
	Title	President and CEO		
	Business Mailing Address	11011 Richmond Avenue, Suite 500		
	Telephone Number	Houston, TX 77042 713-521-9797		
	E-Mail Address	marzuola.d@emexllc.com		
	Name	Kevin R. McAlpin		
	Title	Vice President and General Counsel		
	Business Mailing Address	11011 Richmond Avenue, Suite 500		
	3	Houston, TX 77042		
	Telephone Number	713-521-9797		
	Email Address	mcalpin.k@emexllc.com		
	Name	EMEX Acquisition, LLC		
	Title	Member		
	Business Mailing Address	40900 Woodward Avenue, Suite 200		
		Bloomfield Hills, MI 48304		
	Telephone Number	248-554-4227		
	E-Mail Address			

<sup>1 &</sup>quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.





#### New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-2431 www.puc.nh.gov

Customer Service Contact		
Puc 2006.02(d)	Name	Christopher Page
	Title	Vice President
	Telephone Number	713-521-9797
	Toll-Free Telephone Number (if available)	
	E-Mail Address	page.c@emexllc.com

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	Kevin R. McAlpin
	Title	Vice President and General Counsel
	Business Mailing Address	11011 Richmond Avenue, Suite 500
		Houston, TX 77042
	Telephone Number	713-521-9797
	E-Mail Address	mcalpin.k@emexllc.com

	Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	Kevin R. McAlpin	
	Title	Vice President and General Counsel	
	Business Mailing Address	11011 Richmond Avenue, Suite 500	
		Houston, TX 77042	
	Telephone Number	713-521-9797	
	E-Mail Address	mcalpin.k@emexllc.com	

	Commission Assessment Payments Contact	
Puc 2006.02(e)(3)	Name	Kevin R. McAlpin
	Title	Vice President and General Counsel
	Business Mailing Address	11011 Richmond Avenue, Suite 500
		Houston, TX 77042
	Telephone Number	713-521-9797
	E-Mail Address	mcalpin.k@emexllc.com

	Separate Attachments: Business Authority and Trade Name
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:  (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or
	(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:  (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or
	(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.





#### New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-2431 www.puc.nh.gov

	Statements Regarding Applicant and its Principals	
	Please respond to each of the following questions with either "Yes" or "No."	
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	
	Other States	
Puc 2006.02(i)		TX, IL, NJ, MA,
	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	PA, MD, DC, OH, DE, ME,MI CT, FL, GA, IN, NY, CA
	Statement Regarding Supplier Representation	
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing	
75		
	any supplier interest?  If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.	NO nal sheets if
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.	
Puc	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.  Expected Marketing Start Date	nal sheets if
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.	nal sheets if
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.  Expected Marketing Start Date  Provide the date upon which the applicant expects to commence marketing its services to	nal sheets if
Puc 2006.02(k)	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.  Expected Marketing Start Date  Provide the date upon which the applicant expects to commence marketing its services to	nal sheets if
2006.02(k) Puc 2006.02(l)	Expected Marketing Start Date  Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.  Attestation and Signature  By SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.	nal sheets if
2006.02(k) Puc 2006.02(l)	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.  Expected Marketing Start Date  Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.  Attestation and Signature  BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION	N/A - RENEWAL Date  4/10/2019
2006.02(k) Puc 2006.02(l)	Expected Marketing Start Date  Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.  Attestation and Signature  By SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.	N/A - RENEWAL  Date
2006.02(k) Puc 2006.02(l)	Expected Marketing Start Date  Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.  Attestation and Signature  By SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.  Signature of the applicant of its authorized representative	N/A - RENEWAL Date  4/10/2019
2006.02(k) Puc 2006.02(l)	Expected Marketing Start Date  Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.  Attestation and Signature  By SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.  Signature of the applicant of its authorized representative  Name: KEVIN R. MCALPIN	N/A - RENEWAL Date  4/10/2019
	Expected Marketing Start Date  Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.  Attestation and Signature  By signing below, the applicant representative Certifies that it has the authority to file the application on Behalf of the aggregator and attests that the contents of the application are truthful, accurate, and complete.  Signature of the applicant or its authorized representative  Name: Kevin R. McAlpin  Title: Vice President and General Counsel.	N/A - RENEWAL Date  4/10/2019
2006.02(k) Puc 2006.02(l)	Expected Marketing Start Date  Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.  Attestation and Signature  By Signing Below, The Applicant Representative Certifies that it has the authority to file the Application on Behalf of the Aggregator and attests that the contents of the application are truthful, accurate, and complete.  Signature of the applicant of its authorized representative  Name: Kevin R. McAlpin  Title: Vice President and General Counsel  Filing Instructions  1) Mail an original and two paper copies of this form and all separate attachments to:	N/A - RENEWAL Date  4/10/2019



# New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-2431

Electric Aggregator Registration Puc 2006.02 Rev. 4/5/2019 Page **4** of **4** 



## **Business Information**

#### **Business Details**

Business Name: EMEX, LLC

Business Type: Foreign Limited Liability Company

Business Creation Date: 10/28/2010

Date of Formation in Jurisdiction: 10/28/2010

Principal Office Address: 11011 Richmond Avenue S500, Houston, TX,

77042, USA

Citizenship / State of Formation: Foreign/Texas

77042, USA

Business ID: 638055

Name in State of EMEX, LLC

Formation:

**Business Status: Good Standing** 

Last Annual Report Year: 2019

Next Report Year: 2020

**Duration: Perpetual** 

Business Email: mcalpin.k@emexllc.com

Notification Email: mcalpin.k@emexllc.com

Phone #: 713-521-9797

Mailing Address: 11011 Richmond Avenue S500, Houston, TX,

Fiscal Year End Date: NONE

4/10/2019 QuickStart

## **Principal Purpose**

S.No	NAICS Code	NAICS Subcode
1	OTHER / Energy marketing services and any other lawful business or	
purpose a foreign LLC may register for pursuant to NH LLC laws.		

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## **Principals Information**

Name/Title	Business Address
Daniel Marzuola / Chief Executive Officer	11011 Richmond Avenue, Suite 500, Houston, TX, 77042, USA
Kevin McAlpin / Vice President	11011 Richmond Avenue, Suite 500, Houston, TX, 77042, USA
EMEX Acquisition, LLC / Member	40900 Woodward Avenue, Suite 200, Bloomfield Hills, MI, 48304, USA
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## **Registered Agent Information**

Name: Capitol Corporate Services, Inc.

Registered Office Address: 1 Old Loudon Road, Concord, NH, 03301, USA

Registered Mailing Address: 1 Old Loudon Road, Concord, NH, 03301, USA

4/10/2019 QuickStart

### **Trade Name Information**

Business Name	Business ID	Business Status
EMEX Power		Active
(/online/BusinessInquire/TradeNameInformation?	638056	
businessID=468238)		
Energy Market Exchange		Active
(/online/BusinessInquire/TradeNameInformation?	667883	
businessID=497078)		

## **Trade Name Owned By**

Name Title Address

## **Trademark Information**

Trademark Number Trademark Name Business Address Mailing Address

No records to view.

Filing History

Address History

View All Other Addresses

Name History

Shares

Businesses Linked to Registered Agent

Return to Search

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